

ADVICE FEE AMENDMENT FORM



Part 1 - Account details

Account number _____ Account name _____
 Phone number _____ Email address _____

Part 2 - Fee amendment details

Please mark appropriate box. I/we wish to:

Authorise a one-off advice fee

Change/add an ongoing adviser service fee, licensee service fee and/or adviser research fee.

<p>1. Request a one-off advice fee</p>	<p>\$_____ incl. GST (up to \$5,000 in aggregate per financial year) Note: This will not affect any existing ongoing, adviser service fee, licensee service fee and/or adviser research fee arrangement already in place.</p>
<p>2. Nominate an ongoing adviser service fee (up to maximum % allowable in applicable product)</p> <p>Note: This will replace any existing ongoing adviser service fee.</p>	<p>Annual amount to apply to your account balance (deducted monthly) _____% p.a. incl. GST up to max % allowable as indicated in relevant PDS; OR \$_____ p.a. incl. GST up to max % allowable as indicated in relevant PDS If you have chosen a \$ amount would you like this to be indexed each year? * Yes - indexed to CPI, or fixed % p.a. up to 5% _____ No</p>
<p>3. Nominate a licensee service fee (up to maximum % allowable in applicable product)</p> <p>Note: This will replace any existing licensee service fee.</p>	<p>Annual amount to apply to your account balance (deducted monthly) _____% p.a. incl. GST up to max % allowable as indicated in relevant PDS; OR \$_____ p.a. incl GST up to max % allowable as indicated in relevant PDS If you have chosen a \$ amount would you like this to be indexed each year? * Yes - indexed to CPI, or fixed % p.a. up to 5% _____ No</p>
<p>4. Nominate an adviser research fee (up to maximum % allowable in applicable product)</p> <p>Note: This will replace any existing adviser research fee.</p>	<p>Annual amount to apply to your account balance (deducted monthly) _____ % p.a. incl. GST up to max % allowable as indicated in relevant PDS; OR \$_____ p.a. incl GST up to max % allowable as indicated in relevant PDS If you have chosen a \$ amount would you like this to be indexed each year? * Yes - indexed to CPI, or fixed % p.a. up to 5% _____ No</p> <p>*If no selection is made, the default will be no indexing.</p>

Part 3 - Account holder declaration and signature

By signing this form, I/we:

- confirm that the fees nominated in Part 2 have been agreed between my/our financial adviser and I/us;
- confirm that the services for which the fees specified in Part 2 are being charged are consistent with the Statement of Advice and/or the Fee Disclosure Statement and if charged to my/our Praemium SMA Superannuation Fund account ('Super Account'), that the fee only relates to superannuation and insurance obtained through the Super Account i.e. sole purpose test;
- direct that the amount(s) specified in Part 2 be deducted from my/our VMAPS or Super Account and paid to the adviser's dealer group who will then pay the agreed fees to my/our financial adviser;
- acknowledge that if I/we have specified an ongoing adviser service fee or ongoing dealer service fee or ongoing dealer research fee be paid, Ventura will continue to pay the fee until I/we request cancellation of that fee; and
- if signing under a Power of Attorney (POA) - verify that at the time of signing, the POA is valid and has not been revoked.

Signature of account holder _____ Date _____

Full name and capacity _____

Note: If signed under POA please attach a certified copy of the POA to the form when submitting.

Part 4 - Financial adviser details and declaration

By signing this form, I declare that:

- I am authorised to sign on behalf of my dealer group and that I am authorised to provide advice in relation to the investor/member's account under an Australian Financial Services Licence.
- the fees nominated in Part 2 have been agreed between my client the investor/member and I;
- the services for which the fees specified in Part 2 are being charged are consistent with the Statement of Advice and/or the Fee Disclosure Statement that has been provided to my client the investor/member;
- if the fee is charged to my client's Super Account, that the fee only relates to superannuation and insurance obtained through the Super Account in compliance with the sole purpose test under section 62 of the Superannuation Industry (Supervision) Act 1993; and
- I acknowledge and agree that the amounts specified in Part 2 may cease or vary at any time if requested by the investor/member.

Signature of financial adviser _____ Date _____

Full name _____

Company / Dealer group _____

Part 5 – Form instruction and guidance

- This form is to amend the Advice Fees on a VMAPS or Super Account as follows:
 - authorise a one-off advice fee to be deducted from an account to paid to their financial adviser being the nominated representative on the account.
 - authorise a change to or add an ongoing adviser fee, licensee service fee and/or adviser research fee to be deducted from an account and pay regular amount to the financial adviser and/or dealer.
- A separate form is to be completed for each account to which the fee amendment(s) relate.
- Any change to ongoing advice fees will be applied for the next month that follows the acceptance of the form.
- If Part 3 signed under POA, a certified copy of the POA must be provided along with the completed form.
- If you are requesting a one-off fee to be applied or making an increase to ongoing fees, then both the account holder and the financial adviser must sign this form.
- Upon the acceptance of the form, both you and your financial adviser will receive confirmation to the registered email address of any change in fees.
- Scan and email the completed form to support@praemium.com.au.
Alternatively, the completed form can be returned via post to: VMAPS, PO Box 322, Collins St West 8007